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APPLICATION NUMBER

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/767,457

SUGHRUE MION, PLLC

WASHINGTON, DC 20037

2100 PENNSYLVANIA AVENUE, N.W.

23373

SUITE 800

Fusao Yamanaka

Q79635

CONFIRMATION NO. 3933

FORMALITIES LETTER

OC000000012955060

Date Mailed: 06/15/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/16/2004 GWORDOF1 00000015 10767457

FILED UNDER 37 CFR 1.53(b)

01 FC:1001 02 FC:1051 770.00 OP 130.00 DP

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$900 for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

callonen

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Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE



UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q79635

Fusao YAMANAKA, et al.

Appln. No.: 10/767,457

Group Art Unit: Not yet assigned

Confirmation No.: 3933

Examiner: Not yet assigned

Filed: January 30, 2004

For:

LASER MULTIPLEXING APPARATUS

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION FILED UNDER 37 CFR 1.53(B) - FILING DATE GRANTED

MAIL STOP MISSING PARTS

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In response to the "Notice to File Missing Parts of Nonprovisional Application filed under 37 CFR 1.53(b) - Filing Date Granted," mailed **June 15**, 2004 for the above application, Applicant respectfully submits herewith a copy of the Declaration for the above identified application properly executed by the inventors. Also enclosed please find Response to Notice to File Missing Parts and a copy of an executed Assignment with PTO Form 1595.

Checks for the statutory fee of \$900.00 and Recordation of Assignment Fee of \$40.00 are attached. The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

Registration No. 23,063

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WASHINGTON OFFICE 23373
CUSTOMER NUMBER

DM/lck

Date: August 13, 2004